SUPPORTING INDIVIDUAL SUCCESS INITIATIVE
WINTER 2014

Aging and Disability Services
An Office of the Department of Health and Human Services

Paul R. LePage, Governor   Mary C. Mayhew, Commissioner

Background

- In 2008 DHHS formed a group to choose an assessment tool for people with intellectual disabilities and autism. The goal was to create fairness and equity of resource distribution, based on a standardized assessment of individual needs.
- 12 assessment instruments were researched.
- The SIS (Supports Intensity Scale) was chosen as the tool which best met Maine's goals.

The Supports Intensity Scale (SIS)

- The SIS is a standardized assessment tool developed by the American Association on Intellectual and Developmental Disabilities (AAIDD.) It is designed to measure *the pattern and intensity of support that an individual with intellectual and developmental disabilities needs to be successful in the community.*

The Supports Intensity Scale (SIS)

- ✓ is a supports-based tool
- ✓ was normed with over 1,300 culturally diverse adults with intellectual and developmental disabilities in 33 states
- ✓ is reliable and valid demonstrated by research that is published in peer-reviewed journals
- ✓ can be used for system planning and resource allocation
- ✓ is widely used -- 22 states and 14 languages

Goals of the Initiative

- Create fairness and equity by aligning assessed support needs with funding amounts.
- Support 18-20 year olds by using the SIS to inform transition planning and to estimate future costs
- Use some portion of savings to move people on waiting lists into services
- Encourage independence by supporting peoples' goals to live a full life in their community

Goals of the Initiative (cont.)

- Develop self-directed service options to encourage maximum flexibility within the individual budget model.

- Use the SIS interview and results to inform Person-Centered Planning.

- Improve quality by creating a system that is individualized and sustainable.

Resource Allocation

Individual support needs as measured by the SIS are matched with individual budgets.

Standardized Assessments (SIS)

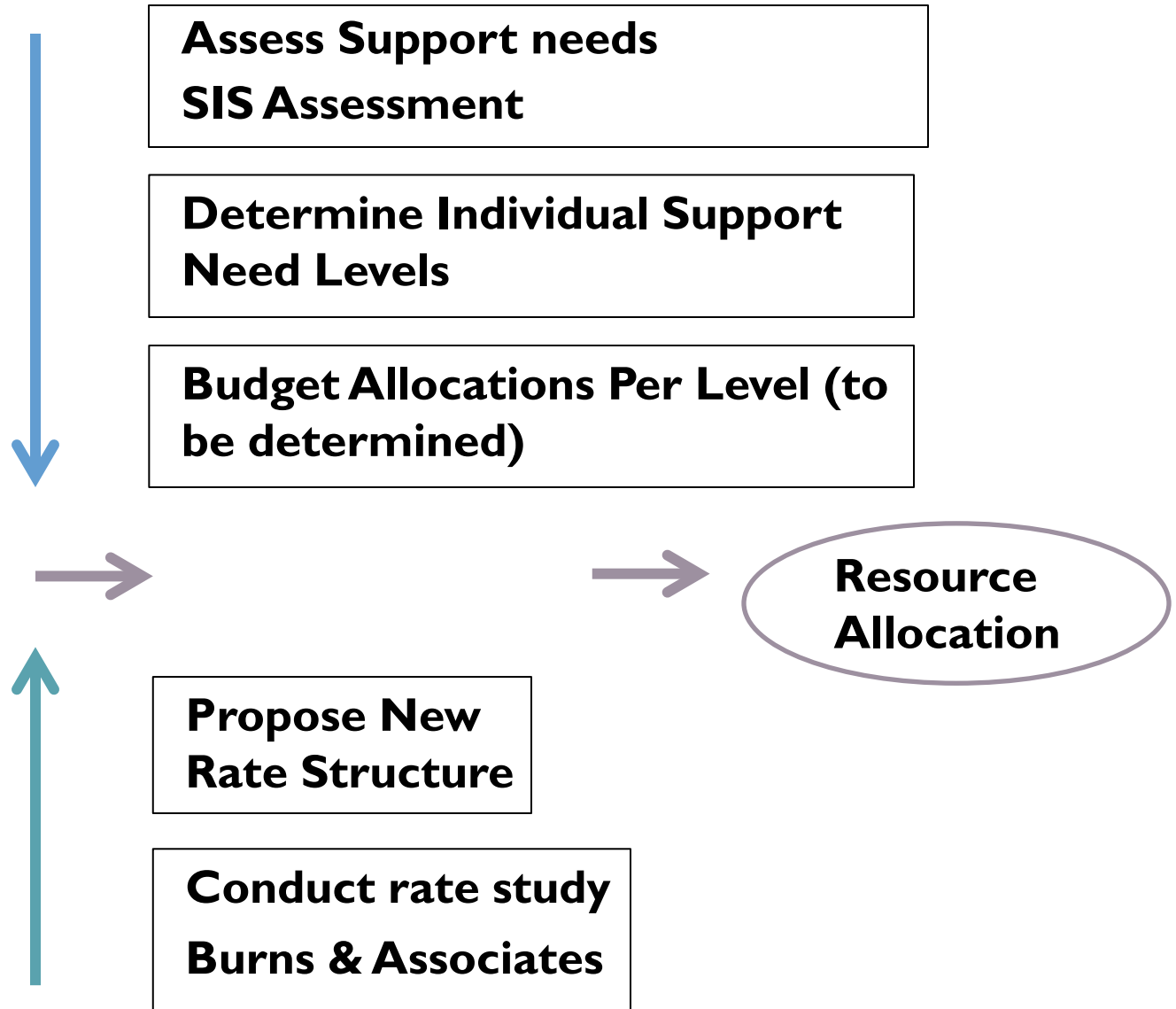**+**

Rate Setting and Budget Allocation =

Supporting Individual Success

Resource Allocation

- Individuals will be assigned to a "Level Budget Allocation" based on an analysis of their support needs as determined by the Supports Intensity Scale.

- Budgets will be prospective rather than retrospective, based on current support needs.

- Budget allocations will be assigned to each level of need based on a reconciliation of budgets and rates as seen in the following diagram.

Source: HSRI

Developing the Resource Allocation Model

Assess Support needs
SIS Assessment

Determine Individual Support Need Levels

Budget Allocations Per Level (to be determined)

Resource Allocation

Propose New Rate Structure

Conduct rate study
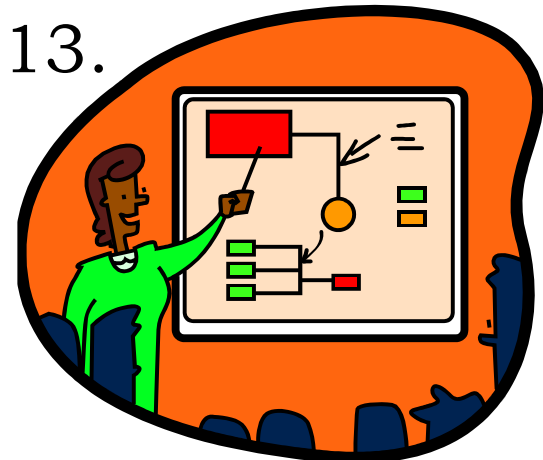Burns & Associates

What's Been Accomplished

- OADS contracted with Human Services Research Institute (HSRI) to provide consultation and analysis of SIS data.

- SIS Interviewers trained by AAIDD completed 2,500 interviews with adults on Section 21 of the HCB Waiver. There are about 3,000 individuals on Section 21.

What's Been Accomplished

- HSRI trained OADS staff for Verification Team. Team reviews case records for those who may have extraordinary medical or behavioral needs.

- HSRI analyzed data from completed SIS interviews and MaineCare claims data and presented findings in a report to stakeholders on June 28, 2013.

What's Been Accomplished

- Completed stakeholder presentations on SIS Overview and discussions on Service Array (November 2013)

Findings from HSRI's Analysis of Data from 1,233 SIS Interviews

- Overall Support Needs for Maine are <u>lower</u> on key indicators than the SIS norm and lower than other states.

- Maine has a <u>high</u> rate of individuals living in group homes compared to other states (70% vs. 30-40%)

- There is only a <u>modest</u> relationship between support needs and expenditures, with <u>significant</u> variability among individuals with similar needs.

- Average expenditures vary by types of services received.

More findings…

- Compared to other states that have no public institution, the average cost per person for HCBS waiver services in Maine is <u>high</u> (Maine average $71,657 vs. other states $59,993.)

More findings…

- Current average expenditures of those receiving Section 21 HCB Waiver services range from a low of $67,556 for Level 1 to a high of $136,710 for Level 5.

- The standard deviation for Level 1 is $43,624.

- The standard deviation for Level 5 is $55,469.

More findings…

Of the 152 records reviewed by Verification Team as of June 2013:

- Severe Medical Risk (SQ 1) had a verification rate of 17.5%

- Severe Community Safety (SQs 2 and 3) had a verification rate of 32.8%

- Severe Risk of Harm to Self (SQ 4) had a verification rate of 44.2%

What's Happening Now

- **Levels**. HSRI has developed a 5-level system that groups people with similar support needs. We are looking at the potential to develop one more level for those with high medical needs.

- **Verification Team** within OADS continues to review individual case records for those who may have extraordinary medical or behavioral needs.

What's Happening Now

- OADS contracted with Burns & Associates to conduct rate study, including provider survey.

- Goold Health Systems awarded contract for Single Assessing Agency. OADS SIS Interviewers are continuing to interview individuals on Section 21 of the HCB waiver. Goold Health Systems will begin conducting interviews in the Spring of 2014.

Next Steps

- Transition assessment process from OADS to Single Assessing Agency (Spring 2014)
- Complete rate study, meet with providers and conduct provider survey, hold public hearing, develop resource allocation model and publish new rates (Fall 2014.)
- Examine current service array and definitions with an eye toward redesign.

Next Steps

- Assign individuals to levels within the resource model.

- Resource allocation and revised rates go into effect for consumers with Fall PCP dates.

- Establish OADS Validation Team to conduct record review, ensuring level assignments are accurate.

Communication Plan

OADS has developed a communication plan to ensure that all stakeholders are informed about the initiative on an ongoing basis.

SIS information on the DHHS OADS website can be found at http://www.maine.gov/dhhs/oads/disability/ds/sis/index.shtml This includes:

- ✓ HSRI's latest report & presentation
- ✓ SIS FAQs
- ✓ Links to AAIDD

QUESTIONS???