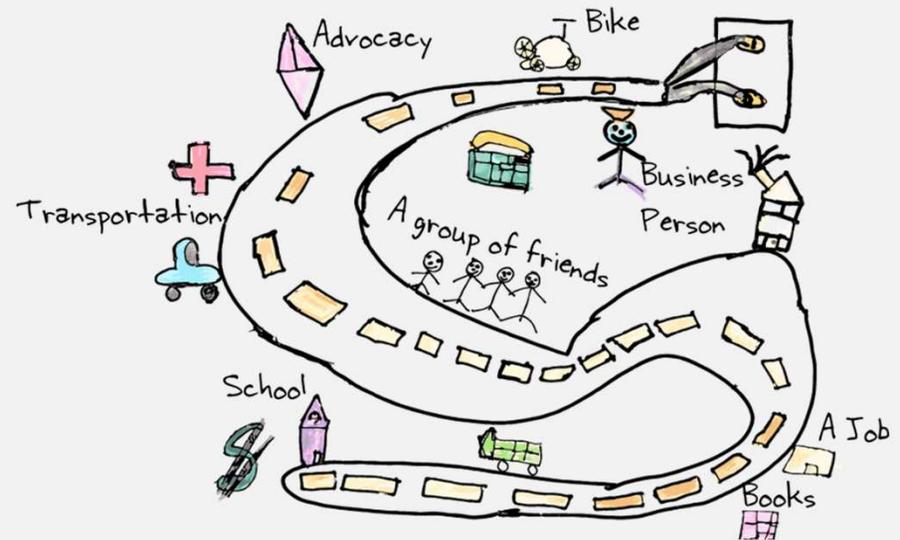


Supporting Individual Success

For People with Intellectual Disabilities & Autism



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First and Foremost

Thank you!!

Purpose of Today's Meeting

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To continue to share information and gather comments and questions from stakeholders as we move forward with the Supporting Individual Success initiative.

What OADS is trying to do & why

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OADS is committed to ensuring that:

People with intellectual disabilities & autism get the services & supports they need to live self fulfilling and productive lives, just as others do, in their community.

(Including those unidentified and waiting for services!)

What & How

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This commitment requires us to establish a person-centered system that emphasizes:

People with intellectual disabilities & autism be **in charge** of their lives as much as possible.

People with intellectual disabilities & autism have opportunities to use resources in ways that **enhance** their lives & help them **participate** in their communities.

A shared responsibility for the wise use of public dollars & the **contribution** that people with intellectual disabilities & autism, & their families can make.

That the system is managed in a way that is efficient & fair to **everyone**, including those receiving or waiting for services.

Moving Forward

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Making the changes we anticipate will require us to:

1. Build on the successes we have achieved,
2. Be willing to change some of how & what we have been doing, and
3. Balance our best intentions with a fairer & efficient use of the dollars we have.

What OADS has been doing

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Over the past four years OADS staff have:

- ❑ Conducted 14 meetings with external stakeholders (over 400 participants), and an additional 48 internal meetings.
- ❑ Developed draft policies, procedures, and practices.
- ❑ Diligently focused efforts on communicating with all stakeholders (emails, conference calls, newsletters, provider meetings, self advocacy meetings).
- ❑ Contributed considerable resources.
- ❑ Listened, listened, listened!

Resource Allocation

Overall the process involves

An assessment is used to assist with establishing individualized budgets. This also provides a way for policy makers to make fiscal choices that are **fair & predictable**, but also makes the **best use** of available money that's consistent with driving system **principles**.

Allocating resources to people based on their assessed level of need. Each person receives **what they need**.

Establishing a **best fit** solution for most but taking care to accommodate individuals with extraordinary needs.

Developing Levels and Budgets

Sample

1. Design a random stratified **sample** for each targeted subpopulation.

Assess & Levels

2. **Assess** needs using the Supports Intensity Scale (SIS) & assign individuals to **Levels** fit to Maine's service system.

Residence

3. Consider **where** people live.

Developing Levels and Budgets

Services &
Rates

4. Settle on the **service array** & reimbursement **rates**.

Service
Packages

5. Build service packages by Level **based on** common supports needs and living situation.

Validate

6. Systematically **validate** the service packages & make revisions as needed.

Maine's Support Levels

| Level | Description |
|-------|---|
| 1 | Low support need, including little to no support need for medical & behavioral challenges. Supports are typically intermittent rather than 24 hours a day, 7 days a week (24/7). |
| 2 | Moderate support needs & little to no support need for medical & behavioral challenges. Although more support than those in Level 1, needs are minimal in a number of life areas. They may also receive intermittent daily support than 24/7. |
| 3 | Low to moderate support needs as in Levels 1&2; also above average, non-extensive support need due to behavioral challenges ; or Above average support needs & up to above average , non-extensive support need due to behavioral challenges. 24/7 supports may be needed due to the nature of their support needs. |
| 4 | High to max support needs; or significant support needs due to medical condition . Additional 24/7 supports may be needed due to the nature of their support needs. |
| 5 | Significant behavioral challenges , regardless of their support need to complete daily activities or for medical conditions. May need enhanced 24/7 supports due to their behavioral challenges. |

People and Support Levels

| | Number of Individuals | Percent |
|----------------|--------------------------|---------------|
| Level 1 | 540 | 22.0% |
| Level 2 | 757 | 30.9% |
| Level 3 | 681 | 27.8% |
| Level 4 | 232 | 9.5% |
| Level 5 | 240 | 9.8% |
| Total | 2,450 | 100.0% |

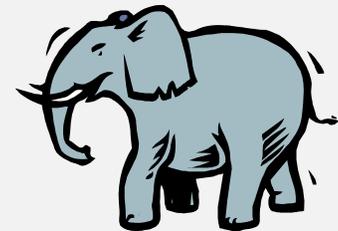
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Individual Service Packages

Identifying the Elephants

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- **Individuals** accepted into Section 21, assessed as **level 1**, will be offered service options that encourage independence. Agency Home Support (group home) will not be available.
- Service packages include “**core services**” (residential and day activities) and allow for **additional services** (crisis, technology, skilled nursing, career planning) to be used separately.



Level Based Service Packages

Budgets vary according to levels that group people from least to highest support needs.

Each level represents a certain amount of money for services.

In some cases other services can be added on to get a higher personal budget allocation.

Processes are implemented to address exceptional needs.



Building 'Service Packages' – Highlights

- Service packages for individuals receiving full-time residential services cover 365 days of support
 - For individuals receiving Home Support-Quarter Hour services, service packages assume between 20 hours per week (Level 1) and 48 hours per week (Levels 4 and 5)
- Every service packages includes at least 22 hours per week of days services (the current approximate limit), with some having as many as 30 hours per week
- 'Non-residential' budgets include 100 hours per year of respite

Validation

- A validation process was conducted to review the level definitions and assigned service packages
 - Essentially, a review of about 100 randomly selected case files in which teams of OADS staff were asked whether the individual appeared to be assigned to the appropriate level and whether the service package would be adequate to meet their needs
- Very positive results
 - 94.5% of cases determined to be assigned to an appropriate or higher level
 - 96.3% of cases determined to have an adequate service package
- Full report has been released for review

Results – Impacts

- Individual budgets are adequate to allow an estimated 98% of individuals to use the same amounts of services as they are currently using
- In other words, 98% of individuals should not see any change to their service levels
 - Actual budgets for some of these individuals may still be lower due to changes in provider rates and/ or authorizations that are currently unused

Pulling It Together

Individuals will be notified of their: level assignment & service package

PCP Planning Meeting

Service Begins



From Individual's Perspective

Preplanning Discussions

PCP is given to OADS Resource Coordinators

Next Steps – Implementation

- Members will be transitioned to the new individual budgets as their planning years come up
- Implementation will begin in July 2015
- Full transition will take at least one year

Your Feedback

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- ❑ These are **BIG CHANGES!** Please take time to review and digest the information presented at today's meeting.
- ❑ **We need your help!** Please identify questions, feedback and positive comments.



- ❑ **STAY TUNED!** Look at the available materials on our website.

Looking Forward

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The challenges ahead may seem daunting, but we have a tremendous opportunity to improve the service delivery system.

Access

**Getting a
Budget**

**Service
Planning**

**Service
Delivery**

**Quality
Monitoring**

Supporting Policies, Rules & Regulations
and Communication



Informal Comment period ends **Sept. 1, 2014**



More information is available at:

www.maine.gov/dhhs/oads/disability/ds/sis/index.shtml

Send comments to OADS@maine.gov